
STATUTORY INSTRUMENTS

2014 No. 1239

ARMS AND AMMUNITION

The Firearms (Amendment) Rules 2014

Made - - - - *10th May 2014*

Coming into force - - *20th May 2014*

The Secretary of State, in exercise of the powers conferred by sections 26A, 26B, 27(2), and 53 of the Firearms Act 1968(1), makes the following Rules:

Citation and commencement

1. These Rules may be cited as the Firearms (Amendment) Rules 2014 and shall come into force on 20th May 2014.

Amendment to the Firearms Rules 1998

2. The Firearms Rules 1998(2) are amended as follows.
3. In rule 3 (firearm certificates), omit paragraph (2).
4. In rule 4 (referees: firearm certificates)—
 - (a) omit paragraph (1);
 - (b) in paragraph (3), omit the words “subject to paragraph (4) below.”
5. In rule 5 (shotgun certificates)—
 - (a) omit paragraph (2);
 - (b) for paragraph (3)(a), substitute—
 - “(a) “(a) the name and address of a person who has agreed to act as a referee, and who satisfies the requirements of rule 6(2) below, and”.
6. In rule 6 (verification of application: shotgun certificates)—
 - (a) for the heading, substitute “Referee: shotgun certificate”;
 - (b) omit paragraph (1).

(1) 1968 c. 27. Sections 26A and 26B were substituted for section 26 as originally enacted by section 37 of the Firearms Amendment Act 1997 (c. 5). Section 27(2) was amended by section 23(5) of the Firearms (Amendment) Act 1988 (c. 45). There are other amendments to the 1968 Act which are not relevant to these Rules.

(2) S.I. 1998/1941. Schedule 1 was amended by S.I. 2010/1759. Rules 3, 4, 5 and 7, and Schedules 1 and 2 were amended by S.I. 2013/1945. Rules 3, 4, 5, 6 and 9, and Schedules 1, 2 and 4 were amended by S.I. 2013/2970.

7. In rule 7 (photographs to accompany application), for paragraph (1), substitute—
- “(1) The photographs referred to in rules 3(3)(b) and 5(3)(b) above shall, in the case of each application, be four identical unmounted photographs showing the current true likeness of the applicant and measuring 45mm by 35mm, of which one shall be signed in ink, on the back of the photograph, with the applicant’s usual signature.”
8. In Schedule 1 (form of application for firearm and shotgun certificates, and firearm certificate)
-
- (a) for the form in Part 1 (application for the grant or renewal of a firearm or shotgun certificate), substitute the form in Schedule 1 to these Rules (application for the grant or renewal of a firearm or shotgun certificate);
 - (b) for the certificate in Part II (firearm certificate), substitute the certificate in Schedule 2 to these Rules (firearm certificate);
 - (c) for the form in Part IV (application to vary a firearm certificate), substitute the form in Schedule 3 to these Rules (application to vary a firearm certificate).

General

9. An application for a firearm certificate or a shotgun certificate may continue to be made using an application form which is replaced by virtue of rule 8(a) above if it is received by the chief officer of police for the area in which the applicant resides on or before 20th June 2014.

10. An application to vary a firearm certificate may continue to be made using an application form which is replaced by virtue of rule 8(c) above if it is received by the chief officer of police for the area in which the applicant resides on or before 20th June 2014.

10th May 2014

Norman Baker
Minister of State
Home Office

SCHEDULE 1

Rule 8(a)

Application for the grant or renewal of a firearm or shotgun certificate

FORM 201

APPLICATION FOR THE GRANT OR RENEWAL OF A FIREARM AND/OR SHOTGUN CERTIFICATE

PLEASE READ THE NOTES THAT ACCOMPANY THIS FORM CAREFULLY BEFORE COMPLETING THE APPLICATION FORM

Please use black ink and write in BLOCK CAPITALS throughout, except when signing. A continuation form is provided at page 6 if you wish to provide any further information.

I am applying for (tick each box which applies)

- Firearm certificate Grant Renewal Shotgun certificate Grant Renewal

Do you wish to apply for a shotgun certificate which will expire at the same time as your firearm certificate? Yes No

PART A: Personal details. 1. Gender Male Female 2. Title 3. Surname 4. Forenames (state all) 5. If you have at any time used a name other than that given in answer to questions 3 and 4 please complete below: Previous surname(s) Previous forename(s) 6. Home address a. Postcode b. Tel number c. Mobile number d. E-mail Any previous home addresses in the last 5 years? Yes No (If yes please give details on page 2) 7. Height 8. Date of Birth a. Place of birth b. Nationality 9. Occupation a. Work address b. Postcode c. Tel number PART B: Personal health & medical declaration 10. Do you suffer from any medical conditions? Important: Read notes 5 & 6 before completion. Yes (If yes give details) No a. Have you ever received treatment for depression or any other kind of mental health condition? Yes (If yes give details) No 11. Details of your GP / Specialist a. Name b. Address c. Postcode d. Tel number e. E-mail

I give the police permission to contact my GP and/or specialist to obtain factual details of any medical history in respect of this application. This authority is valid for the life of the certificate(s). I understand that my GP may share sensitive personal data with the police concerning my physical & mental health for the purpose of enabling the police to make a fully informed decision on my application & I hereby consent to this processing of my personal data. Applicant's name (please print)

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

FORM 201

Applicant's signature Date

PART C: Offences

Important : Please read note 1 before completion

12. Have you been convicted of any offence (not including parking) or received a written caution?

- Yes
- No

(If yes, give details of all convictions and/or formal written cautions, binding overs and spent convictions, including those received outside Great Britain).

Date	Offence
.....
.....
.....
.....

Previous home address(es) from the past five years:

Address 1

.....

Postcode

From To

Address 2

.....

Postcode

From To

Address 3

.....

Postcode

From To

FORM 201

APPLICATION FOR THE GRANT OR RENEWAL OF A FIREARM CERTIFICATE

(If applying for a SHOTGUN certificate only go to part E)

PART D: Firearm details (if applicable).

13. Details of firearms currently held. **IMPORTANT: Please read note 9 before completion**

If none write NONE here:

Calibre Metric/Imperial	Type	Make e.g. Winchester	Serial No	Reason e.g. Target, vermin (please provide land/club details)

14. Details of firearms to be acquired. **IMPORTANT: Please read note 9 before completion**

If none write NONE here:

Calibre Metric/Imperial	Type	Reason e.g. Target, vermin (please provide land/club details)

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FORM 201

DECLARATION

I hereby apply for a

- Firearm certificate** **Shotgun certificate**

The information I have provided on this form is true and I understand that it is an offence under section 28A(7) of the Firearms Act to knowingly or recklessly make a false statement for the purpose of procuring the grant or renewal of a certificate; the maximum penalty for which is six months' imprisonment and/or a fine. I understand that I will be subject to a check of police records and that my details will be held electronically.

Signature:

Print name:

Date:

I have enclosed **FOUR** identical photographs of a current likeness of me, the applicant. See note 2 and 3 for details of the photographic requirements.

If the applicant is under 18 years of age the following must be completed

- Parent** or **Guardian**

Signature:

Print name:

Date:

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FORM 201

APPLICATION FOR THE GRANT OR RENEWAL OF A FIREARM AND/OR SHOTGUN CERTIFICATE

PART F: Referee details. Please write in BLOCK CAPITALS

Referee for firearm and/or shotgun certificates.

Please give details of a suitable person who has agreed to act as a referee for you.

See notes 11 and 12

1. Title

2. Surname

3. Forename(s)

4. Date of birth (if known).....

a. Place of birth (if known).....

5. Occupation

6. Home address

.....

.....Postcode

7. Home telephone number.....

a. Work telephone number

b. Mobile number

c. Home e-mail

d. Work e-mail.....

8. In what capacity do you know the referee?

9. How long has the referee known you?

APPLICATION FOR THE GRANT OR RENEWAL OF A FIREARM CERTIFICATE

PART F: Referee details. Please write in BLOCK CAPITALS

A second referee is ONLY required for a firearm certificate . See note 11

Please give details of a suitable person who has agreed to act as a referee for you.

- 1. Title
- 2. Surname
- 3. Forename(s)
- 4. Date of birth (if known).....
- a. Place of birth (if known).....
- 5. Occupation
- 6. Home address
-
-Postcode
- 7. Home telephone number.....
- a. Work telephone number
- b. Mobile number
- c. Home e-mail
- d. Work e-mail.....
- 8. In what capacity do you know the referee?
- 9. How long has the referee known you?

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FORM 201

PART G: Equality (Please tick the appropriate boxes)

EQUALITY INFORMATION

1. I would prefer not to answer any of the following questions.

2. **Do you have a disability?**

Yes No

Prefer not to say

3. **What is your ethnic group?**

A. White

English

Welsh

Scottish

Northern Irish

British

Irish

Gypsy or Irish Traveller

Any other white background, write in:

B. Mixed/multiple ethnic groups

White and Black Caribbean

White and Black African

White and Asian

Any other mixed/multiple ethnic background, write in:

C. Asian or Asian British

Indian

Pakistani

Bangladeshi

Chinese

Any other Asian background, write in:

D. Black/African/Caribbean/Black British

African

Caribbean

Any other Black/African/Caribbean background, write in:

E. Other ethnic group

Arab

Any other ethnic group, write in:

F. Prefer not to say

4. **Gender**

Male

Female

Prefer not to say

5. **What is your age group?**

Age group	Tick
66 and above	<input type="checkbox"/>
61-65	<input type="checkbox"/>
56-60	<input type="checkbox"/>
51-55	<input type="checkbox"/>
46-50	<input type="checkbox"/>
41-45	<input type="checkbox"/>
36-40	<input type="checkbox"/>
31-35	<input type="checkbox"/>
26-30	<input type="checkbox"/>
21-25	<input type="checkbox"/>
18-20	<input type="checkbox"/>
Under 18	<input type="checkbox"/>

Prefer not to say

APPLICATION FOR THE GRANT OR RENEWAL OF A FIREARM AND/OR SHOTGUN CERTIFICATE

NOTES

Please read these **BEFORE** completing the form

FIREARM: Section 1 of the Firearms Act 1968 (as amended) applies to all firearms except:

- i. a shotgun;
- ii. an air weapon (unless declared specially dangerous);
- iii. prohibited weapons such as centre fire self-loading rifles, handguns, machine guns etc (unless specifically authorised).

SHOTGUN: Section 1(3)a of the Firearms Act 1968 (as amended) defines a shotgun as:

- i. a smooth bore gun (not being an air weapon);
- ii. having a barrel not less than 24" (60.96cm) and a bore less than 2" (5.08cm);
- iii. either having no magazine, or a non-detachable magazine incapable of holding more than two cartridges;
- iv. not a revolver gun.

1. You must not withhold information about **any conviction**. This includes motoring offences, binding overs, formal written warnings, cautions and convictions in and outside Great Britain, and (by virtue of the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975) convictions which are spent under the 1974 Act. A conditional discharge and an absolute discharge both count as convictions for this purpose. Details of parking offences and fixed penalty notices do not need to be declared.
2. Applications must be accompanied by four identical photographs of you and sized 45mm x 35mm. The photographs must be full face and without a hat and must be a current true likeness. Ordinary passport style photographs are suitable for this purpose. A computer generated likeness is acceptable if it is full face, against a plain background and printed on good quality gloss or matt paper. If you are applying for both a firearm and a shotgun certificate you need only submit four photographs.
3. One of the photographs must be signed in ink, on the back by you, the applicant.
4. Unless otherwise advised by the police, you should post or take the completed form together with the fee and photographs to the police firearms licensing department. In the case of an application for renewal of a shotgun certificate, the current certificate or a signed and dated recent copy should be included with the application.
5. Personal health and medical declaration. You must disclose any physical or mental health condition that may affect your ability to safely possess and use a firearm (including a shotgun). This includes for example epilepsy, stroke, stress related illness, depression, alcoholism, heart disease, cancer etc. If in doubt, consult your GP. The police may contact your GP and/or specialist to obtain factual details of any medical history in respect of your application.

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Form 201A

6. Where an application is successful a letter will be sent to your GP and, where there is good reason, a medical report may be required.
7. Section 21 of the Firearms Act 1968 details restrictions on the possession of firearms and ammunition by those previously convicted of crime. From 14 July 2014 a person receiving a suspended sentence of a minimum duration of three months or more will be prohibited from possessing a firearm for five years from the second day after sentence.
8. To apply for both a **firearm certificate** and a **shotgun certificate** and to have them expire at the same time (coterminous certificates) you should complete the sections for firearm and shotgun certificates. The fee payable for such certificates may be less than the normal fee for the grant or renewal of a shotgun certificate if both of your application forms are dealt with at the same time.
9. (1) To acquire or possess firearms or ammunition under Section 1 of the Firearms Act 1968, you have to provide evidence that you have a good reason to do so. This applies to the grant, renewal or variation of a firearm certificate. This evidence can take several forms: permission to shoot over land or membership of a target shooting club, or a booking or invitation to go deer stalking are examples, but these are not exhaustive.

Section 1: Firearm Only

(2) Please provide the address of one area of land where you have permission to shoot, together with the name, address and telephone number of the person who has given you that permission or the details of a Home Office approved club of which you are a full member. **NB:** You will not necessarily be limited to shooting over that individual piece of land or at that club.

10. **You must complete all parts of the form.**
11. When applying for a **firearm certificate**, you should have gained the permission of two people who have agreed to act as referees for you. You must complete Part F (i & ii) with their details. When applying for a **shotgun certificate** you should have gained the permission of one person to act as a referee for you. You must complete part F (i) with their details.
12. The referee(s) who have agreed to act for you must have known you personally for at least two years and must be resident in Great Britain. Referees must not be a member of your family, a registered firearms dealer, a serving police officer or police employee. Referees must be of good character and any references they agree to provide must be given freely and not on payment.
13. The equality monitoring information you provide in Part G aims to assist the force in meeting its duties as a Public Authority. The information will be kept separately from the application.

May 2014

SCHEDULE 2

Rule 8(b)

Firearm Certificate

Firearms Acts 1968 to 1997

Firearms Form 202

FIREARM CERTIFICATE		This certificate is granted for the purpose of section 1(1) of the Firearms Act 1968 to the holder named below and relates to the firearm(s) and ammunition specified.	
Name and address of holder: <input type="text"/>		Photograph of holder: <input type="text"/>	Stamp/Crest of issuing Police Force: <input type="text"/>
Post code: <input type="text"/>	Date of birth: <input type="text"/>		
Certificate number: <input type="text"/>	Valid from: <input type="text"/>	Valid to: <input type="text"/>	
Usual signature of holder: <input type="text"/>		Signature of Chief Officer of Police: <input type="text"/>	
		Date: <input type="text"/>	

Conditions

This certificate is issued subject to the following conditions. It is an offence to fail to comply with any of the conditions below. Maximum penalty for non-compliance: six months imprisonment and/or a fine.

1. The holder must, on receipt of the certificate, sign it in ink with his/her usual signature.
2. The holder of this certificate must inform the Chief Officer of Police by whom the certificate was granted **within 7 days** of the theft, loss or destruction in Great Britain of the certificate and/or the theft, loss, deactivation or destruction of any firearms and/or the theft or loss of ammunition to which this certificate relates.
3. The holder of this certificate must, **without undue delay**, inform the Chief Officer of Police by whom the certificate was granted of any change of permanent address.
4. (a) The firearms and ammunition to which the certificate relates must at all times (except in the circumstances set out in paragraph (b) below) be stored securely so as to prevent, so far as is reasonably practicable, access to the firearms or ammunition by an unauthorised person.
(b) Where a firearm and/or ammunition to which the certificate relates is in use or the holder of the certificate has the firearm with him/her for the purposes of cleaning, repairing or testing it or for some other purpose connected with its use, transfer or sale, or the firearm or ammunition is in transit to or from a place in connection with its use or any such purpose, reasonable precautions must be taken for the safe custody of the firearm and/or ammunition.

Additional conditions may be added here by the Chief Officer of Police

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Firearms Acts 1968 to 1997

Firearms Form 202

Certificate number:

1. Firearms possessed or authorised to be purchased or acquired

(i) Firearms possessed

CALIBRE METRIC OR IMPERIAL	MAKER'S NAME	TYPE	ACTION	IDENTIFICATION NUMBER
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(ii) Firearms authorised to be purchased or acquired

CALIBRE METRIC OR IMPERIAL	TYPE	QUANTITY	CALIBRE METRIC OR IMPERIAL	TYPE	QUANTITY
----------------------------------	------	----------	----------------------------------	------	----------

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Certificate number:

2. Ammunition including expanding ammunition and expanding missiles

Maximum quantity authorised to be possessed at any one time

CALIBRE METRIC OR IMPERIAL	QUANTITY	CALIBRE METRIC OR IMPERIAL	QUANTITY
----------------------------------	----------	----------------------------------	----------

Guidance for the holder of this certificate: Please ensure that anyone who sells, lets on hire, gives or lends you a firearm and/or ammunition reads these notes.

Guidance to anybody who sells, lets on hire, gives or lends firearms and/or ammunition to the holder of this certificate:

1. You must complete each column of Table 1 Firearms or Table 2 Ammunition.
2. If you are a registered firearms dealer or a person who is entitled to possess a firearm without a certificate, you must inform the Chief Officer of Police who issued this certificate **within 7 days** about the transaction by recorded delivery or permitted electronic means. You should provide a description of the firearm (including its identification number, if any). You must also state whether the firearm was sold, let on hire, given or lent, and give the name and address of the holder of this certificate.
3. Any circumstances attending the transaction which appear to require investigation must be reported within **48 hours** to the Chief Officer of Police who granted this certificate.
4. If you are selling a firearm and/or ammunition which will be sent or posted to another dealer for the buyer to collect in person, you should complete the table(s) and notify the police of the transaction concerning the firearm (as in 2 above). The dealer who actually hands over the firearm and/or ammunition should not complete the table(s) or notify the police (except in circumstances which may require police investigation as above).

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Firearms Acts 1968 to 1997

Firearms Form 202

Certificate number:

Table 1 Firearms					Certification
A	B	C	D	E	F
Date of sale or transfer	Name and address of person selling or transferring firearm	State whether sold, let on hire, given or lent	Quantity, calibre, maker's name and type of firearm, identification number or mark	Authority to possess (e.g. firearm certificate or dealer's registration number)	I certify that the entries I have made in columns A to E are correct. Usual signature and date

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Certificate number:

Table 2 Ammunition					Certification
A	B	C	D	E	F
Date of sale or transfer	Name and address of person selling or transferring ammunition	Quantity of ammunition	Calibre and description of ammunition	Authority to possess (e.g. firearm certificate or dealer's registration number)	I certify that the entries I have made in columns A to E are correct. Usual signature and date

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Firearms Acts 1968 to 1997

Firearms Form 202

Certificate number: **Notes**

- A** Under section 32 of the Firearms (Amendment) Act 1997 where a person sells, lets on hire, lends or gives firearms and/or ammunition to which section 1 applies to another person who is not a registered firearms dealer or a person who is entitled to purchase or acquire the firearms and/or ammunition without holding a certificate -
- (i) the person receiving the firearms and/or ammunition must produce the certificate or permit entitling him/her to purchase or acquire the firearms and/or ammunition being transferred;
 - (ii) the person selling, letting on hire, lending or giving the firearms and/or ammunition must comply with any instructions in the certificate or permit produced; and
 - (iii) the final handing-over of firearms and/or ammunition must take place in person.
- B** Under section 33 of the Firearms (Amendment) Act 1997, where a person transfers a firearm to any other person, including lending it, selling it, hiring it out or making a gift of it, he/she shall within seven days of the transfer give to the Chief Officer of Police who granted his/her certificate or permit a notice
- (i) containing a description of the firearm in question (giving its identification number if any); and
 - (ii) stating the nature of the transaction and the name and address of the other party.
- C** Under Section 34 of the Firearms (Amendment) Act 1997, where you
- (i) deactivate a firearm or have it deactivated by someone else; or
 - (ii) destroy a firearm, lose a firearm or section 1 ammunition; or
 - (iii) have firearms or ammunition stolen,

you must notify the Chief Officer of Police who issued the certificate. This requirement applies even if the sale, loss, etc. has happened outside Great Britain.

It is an offence for a person to fail to comply with the requirements at A - C.

- D** The entries for boxes A - E in Tables 1 and 2 relate to transactions with the holder of this certificate. The seller must be satisfied that the transaction will not place the holder of this certificate in possession of firearms and ammunition in excess of the amount authorised by this certificate.
- E** Her Majesty's Revenue and Customs will notify the Chief Officer of Police who issued this certificate of any firearm which is imported into Great Britain on the authority of this certificate.

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Data Protection Act DPA 1998
National Firearms Licensing Management System (NFLMS)
Personal data supplied may be held or verified by computer

SCHEDULE 3

Rule 8(c)

Application to vary a firearm certificate

FORM 202

APPLICATION TO VARY A FIREARM CERTIFICATE

Please use black ink and write in **BLOCK CAPITALS** throughout, except when signing. If you wish to provide any further information to that mentioned in this form, you must also sign and date that information.

NOTE : THE CERTIFICATE TO BE VARIED MUST BE ENCLOSED WITH THIS FORM

<p>PART A: Personal details.</p> <p>1. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female</p> <p>2. Title</p> <p>3. Surname</p> <p>a. Previous surname(s)</p> <p>4. Forenames (state all)</p> <p>5. Home address</p> <p>a. Postcode</p> <p>b. Tel number</p> <p>c. Mobile number</p> <p>d. E-mail</p> <p>6. Height</p> <p>7. Date of Birth</p> <p>a. Place of birth</p> <p>b. Nationality.....</p> <p>8. Occupation</p> <p>a. Work address</p> <p>b. Postcode</p> <p>c. Tel number</p>	<p>PART B: Personal health & medical declaration</p> <p>9. Do you suffer from any medical conditions?</p> <p><input type="checkbox"/> Yes (If yes give details) <input type="checkbox"/> No</p> <p>a. Have you ever received treatment for depression or any other kind of mental health condition?</p> <p><input type="checkbox"/> Yes (If yes give details) <input type="checkbox"/> No</p> <p>10. Details of your GP / Specialist</p> <p>a. Name</p> <p>b. Address</p> <p>c. Postcode</p> <p>d. Tel number</p> <p>e. E-mail</p> <p>PART C: Offences</p> <p>11. Have you been convicted of any offence or received a written caution (not including parking) since your last application to grant or renew the certificate?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(If yes, give details of <u>all</u> convictions and/or formal written cautions, binding overs and spent convictions, including those received outside Great Britain).</p>
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FORM 202

12. If you wish to report the disposal of any firearms currently shown on your firearm certificate please give details below :

Calibre Metric/Imperial	Type	Make e.g. Winchester	Serial No

13. Details of firearms to be acquired :

Calibre Metric/Imperial	Type	Reason e.g. Target, vermin (please provide land/club details)

14. Details of the ammunition to be added or deleted :

AMMUNITION TO BE ADDED	
Calibre Metric/Imperial	Quantity

AMMUNITION TO BE DELETED
Calibre Metric/Imperial

DECLARATION

The information I have given above is true and I understand that it is an offence under Section 29(3) of the Firearms Act 1968 to knowingly or recklessly make a false statement for the purpose of procuring a variation of a firearm certificate.

Signature

Print name

Date

If the applicant is under 18 years of age the following details must be completed:

Parent Guardian

Signature

Print name

Date

EXPLANATORY NOTE

(This note is not part of the Rules)

These Rules make a number of amendments to the Firearms Rules 1998 (S.I. 1998/1941).

Rules 3 to 7 make changes to the rules relating to referees for applications for firearm certificates and shotgun certificates. Under the new arrangements, referees will no longer have to provide any signed statements, nor will they have to sign in ink on the back of a photograph to confirm that the photograph is a true likeness of the applicant. The purpose behind these changes is to allow for a move towards a fully electronic application process, in order to increase efficiency.

Rule 8 replaces two existing prescribed forms and one existing prescribed certificate with new prescribed forms and a new prescribed certificate. The purpose of making these changes is to ensure that the forms concerned are sufficiently clear for the user, and to correct some minor errors in the text.

Rules 9 and 10 make provision to enable chief officers of police to process applications which are made using the old forms that are to be replaced, provided that the application concerned is submitted on or before 20th June 2014.